

STORAGE DEVELOPER CONFERENCE



*BY Developers FOR Developers*

# How Bad is TCP?

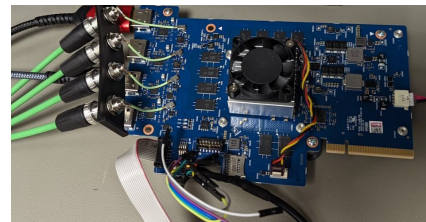
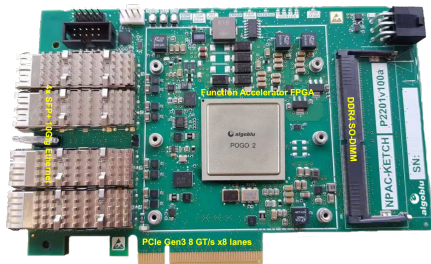
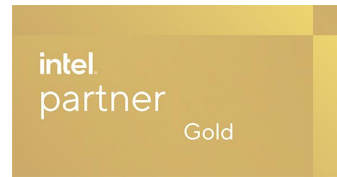
(And What Are the Alternatives?)

Endric Schubert, Ph.D.    [endric@mle.biz](mailto:endric@mle.biz)

# MLE Mission: “From Software to Silicon!”

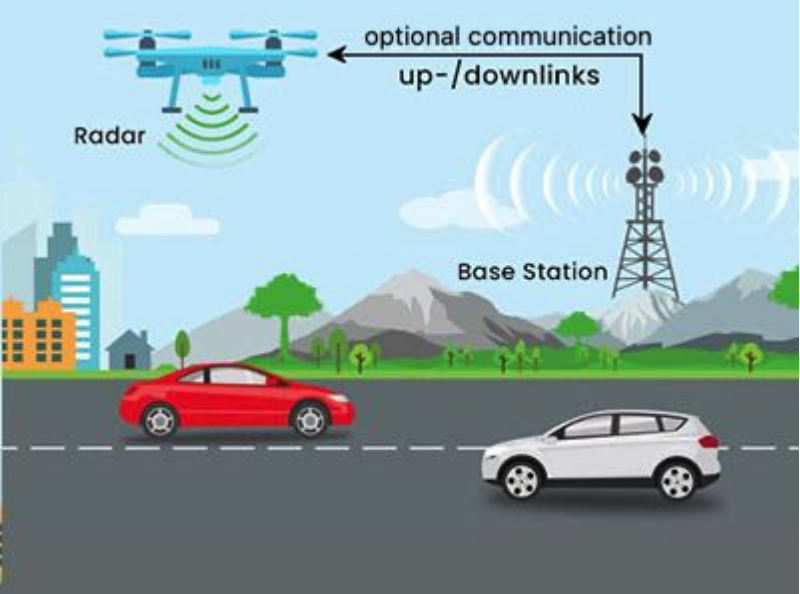
High-Performance (Embedded) Compute & Connected Systems-of-Systems need “Offload Engines” for better performance, lower and deterministic latency and improved energy efficiency.

Focus on standards such as PCIe, NVMe, Ethernet, TCP/UDP/IP, TSN.

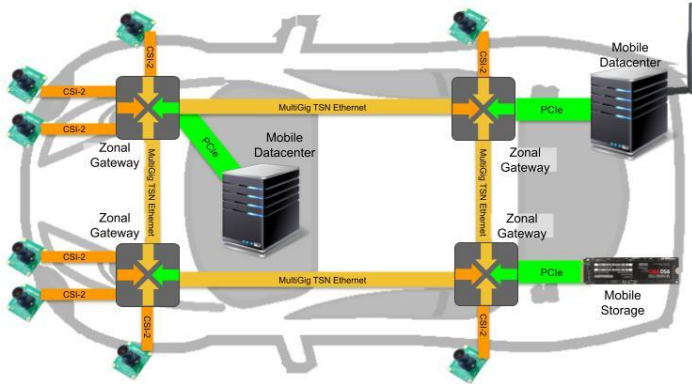


# Multi-Gigabit Real-Time Networking Market & Technology Forces

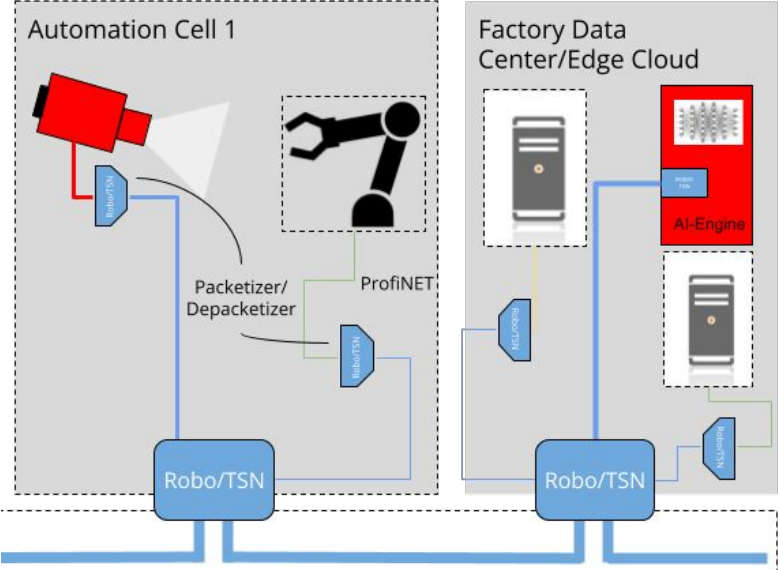
6G Radio Integrated  
Communication and  
Sensing (ICAS)



Zone-Based In-Vehicle  
Networking (Auto/TSN)



100G Real-time Backbone  
for Virtualized PLC  
(Robo/TSN)



# Work Motivation

## Systems-of-systems

- Tightly-coupled: i.e. distributed processing with microservices
- Loosely-connected via networks (which continuously are the bottleneck)

## Need to optimize

- for power / energy efficiency
- for throughput
- for (deterministic) latency and real-time delivery

## Domain-Specific Architectures:

- “Offload” (protocol) processing software onto silicon  
but adhere to (defacto) standards and APIs
- Make networks more deterministic and Time-Sensitive

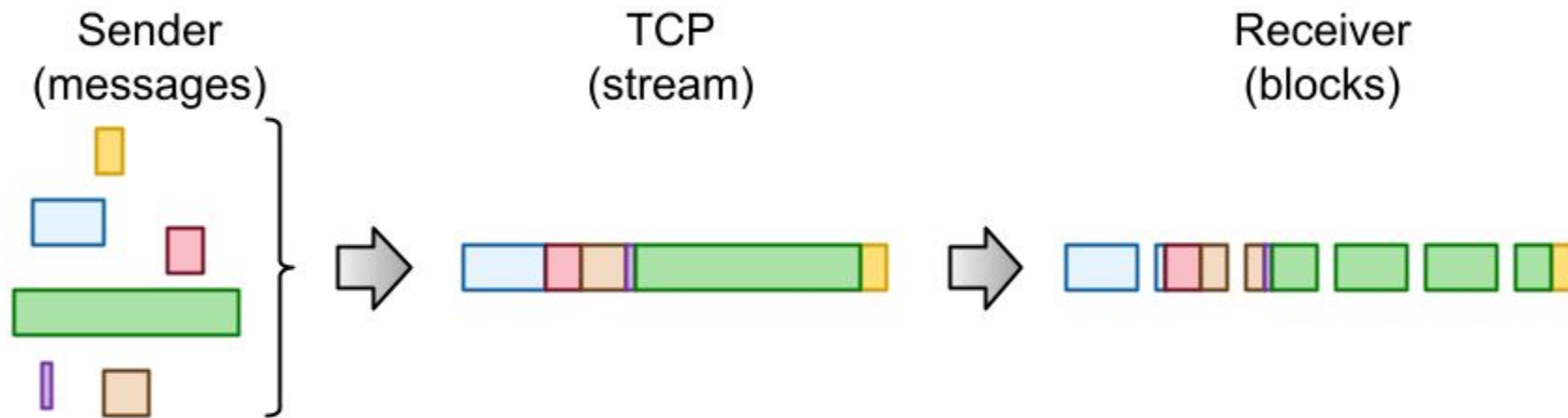


# Presentation Outline

- **How Bad is TCP?**
  - Computational Burden
  - Tail-end Latencies
- **What Are the Alternatives?**
  - Homa from John Ousterhout's team at Stanford University
  - QRP (Quad R P) - a Hardware Accelerated Version of Homa

# Courtesy of John Ousterhout, Stanford University

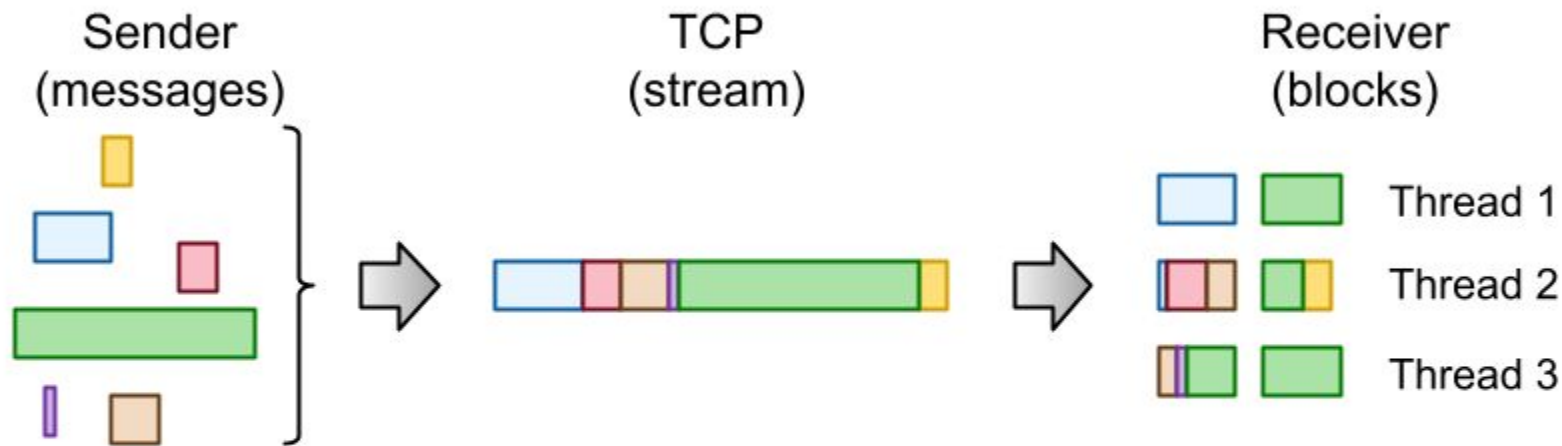
## 1. TCP Data Model: Byte Stream



- Applications care about **messages**, but TCP drops boundary info
- Extra complexity/overhead for message reassembly

# Courtesy of John Ousterhout, Stanford University

## 1. TCP Byte Streams, cont'd

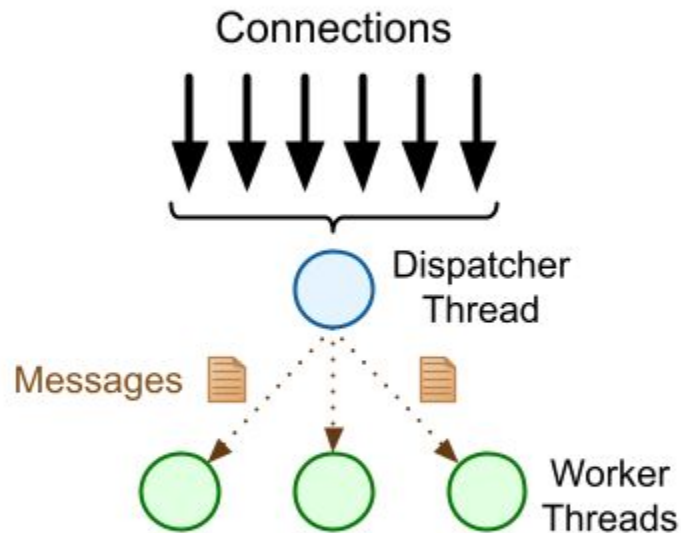


- **Disastrous for load balancing**
  - Can't share one stream among multiple threads
  - Can't offload dispatching to NIC

# Courtesy of John Ousterhout, Stanford University

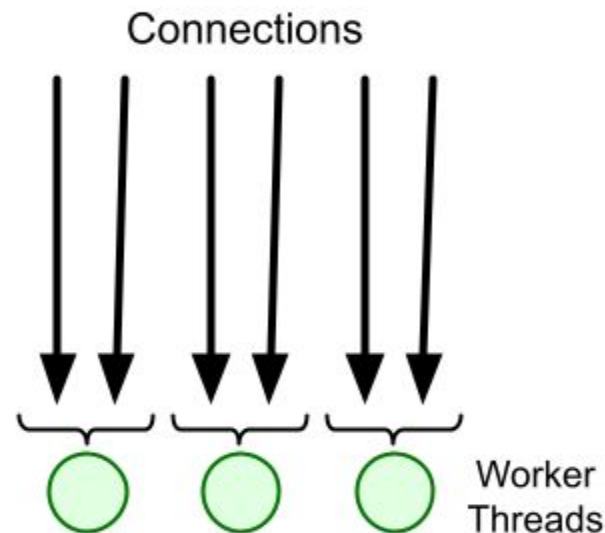
## Load Balancing Choices

### Choice #1: dispatcher thread



- **Extra latency for worker handoff**
- **Dispatcher is throughput bottleneck (~1M msgs/sec)**

### Choice #2: partition connections

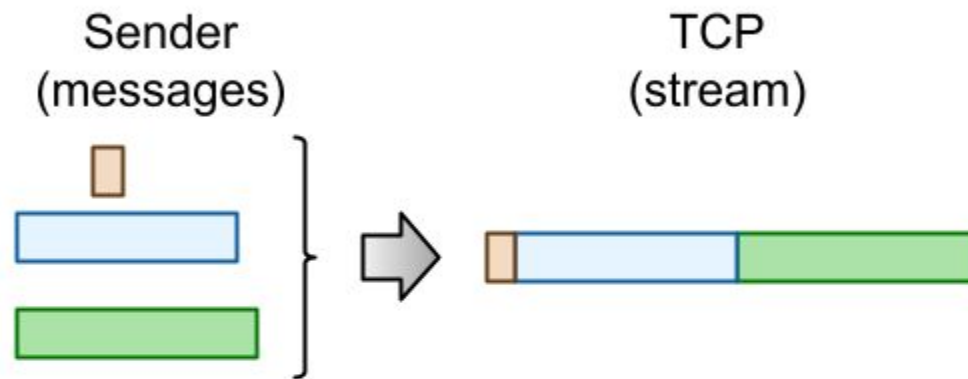


- **Static load balancing: prone to hot spots**



# Courtesy of John Ousterhout, Stanford University

## 1. TCP Byte Streams, cont'd

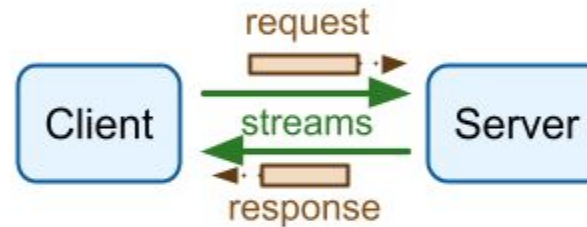


- **Head-of-line blocking:**
  - Short messages can get stuck behind long ones
  - High tail latency

# Courtesy of John Ousterhout, Stanford University

## Stream-Level Reliability Inadequate

- **Clients want round-trip guarantees:**
  - Deliver request
  - Ensure it is processed
  - Deliver response
  - Or, notify of error
- **Stream guarantees are weaker:**
  - Best-effort delivery of request or response
  - No notification if server machine crashes
- **Clients must implement additional timeout mechanisms**
  - Even though TCP already implements timers



# Courtesy of John Ousterhout, Stanford University

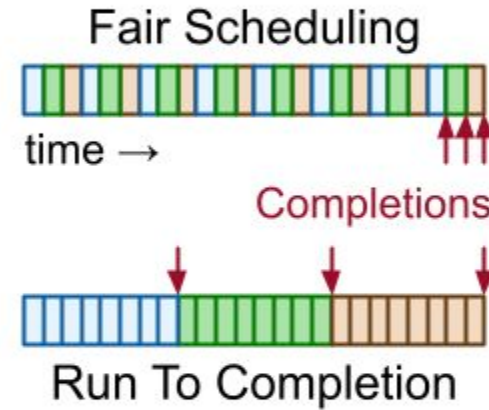
## 2. TCP is Connection-Oriented

- Requires **long-lived state** for each stream
  - ~2000 bytes per connection in Linux, not including packet buffers
  - Individual datacenter apps can have thousands of connections
  - Mitigate with connection pooling/proxies (e.g. Facebook)? Adds overhead
  - Challenging for NIC offloading (e.g. Infiniband): thrashing in connection caches
- Before sending any data, must pay **round-trip for connection setup**
  - Problematic in serverless environments: can't amortize setup cost
- Motivation for connections:
  - Enable reliable delivery, flow control, congestion control
  - But, all these can be achieved without connections

# Courtesy of John Ousterhout, Stanford University

## 3. TCP Uses Fair Scheduling

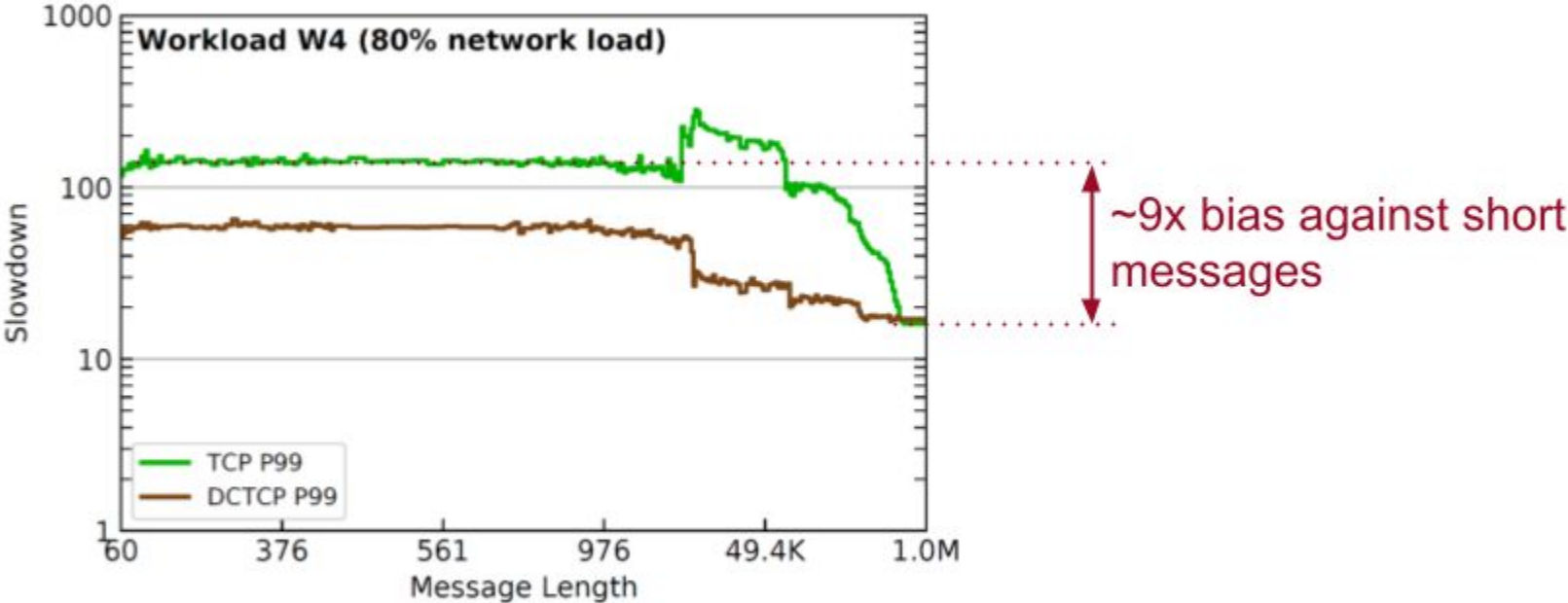
- When loaded, share bandwidth equally among active connections
- Well-known to perform poorly: **everyone finishes slowly**
- Run-to-completion approaches (e.g. SRPT) are better
  - But requires message sizes





# Courtesy of John Ousterhout, Stanford University

## TCP Isn't Actually Fair!



# Courtesy of John Ousterhout, Stanford University

## 4. TCP: Sender-Driven Congestion Control

- **Senders responsible for scaling back transmission rates when needed**
  - But, they have no direct knowledge of congestion
- **Congestion signals based on buffer occupancy:**
  - Packets dropped if queues overflow
  - Congestion notifications based on queue length
- **Problems:**
  - Significant buffer occupancy when system is loaded
  - Queuing causes delays, especially for short messages

# The Computational Burden of TCP

Benchmark TCP Point-to-Point with Netperf 2.6

- TCP\_STREAM (and TCP\_MAERTS) for throughput in Gbps
- CPU Load on Tx and Rx side
- TCP\_RTT for Round-Trip-Time
- Efficiency = Throughput / CPU Load

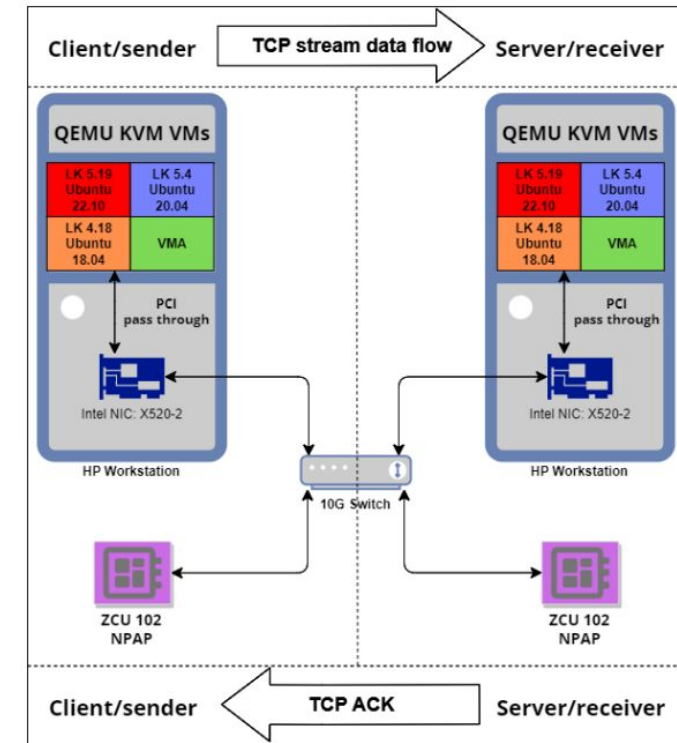
System Setup

- AMD FPGA w/ NPAP-25G (Fraunhofer HHI's TCP/UDP/IP Full Accelerator)
- 25 GigE NIC: Mellanox ConnectX-4 LX
- CPU: Intel(R) Xeon(R) e5-1620 v0 @ 3.60GHz  
1 socket, 4 cores, 1 thread per core  
RAM: 32G (4x8G) (Samsung, DDR3, 1600 MT/s, syn reg)

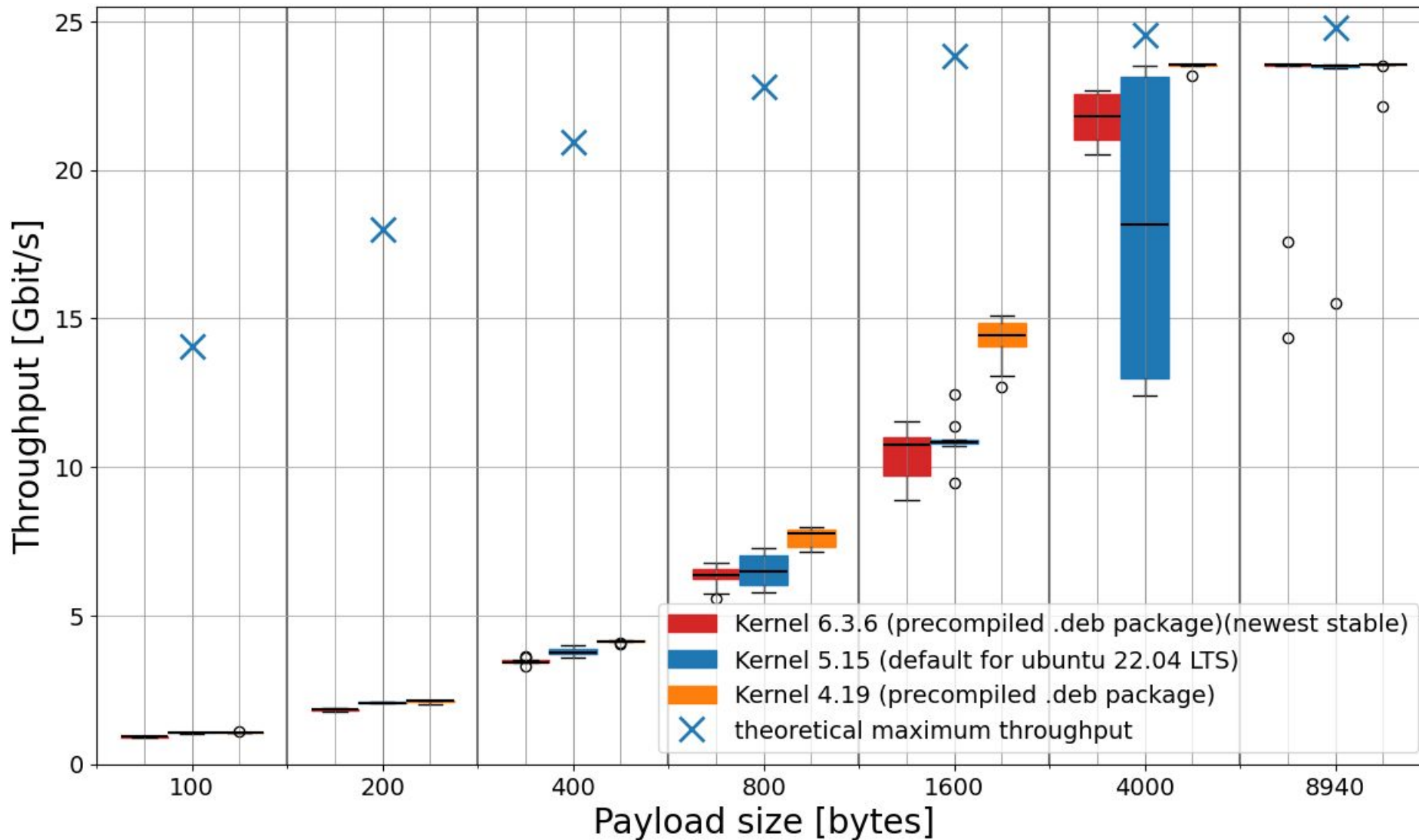
Effects of different Linux kernels

- Vanilla 4.19 vs vanilla 5.15. vs vanilla 6.3.6
- Vanilla 4.19 vs Centos 4.18

Experimental Setup

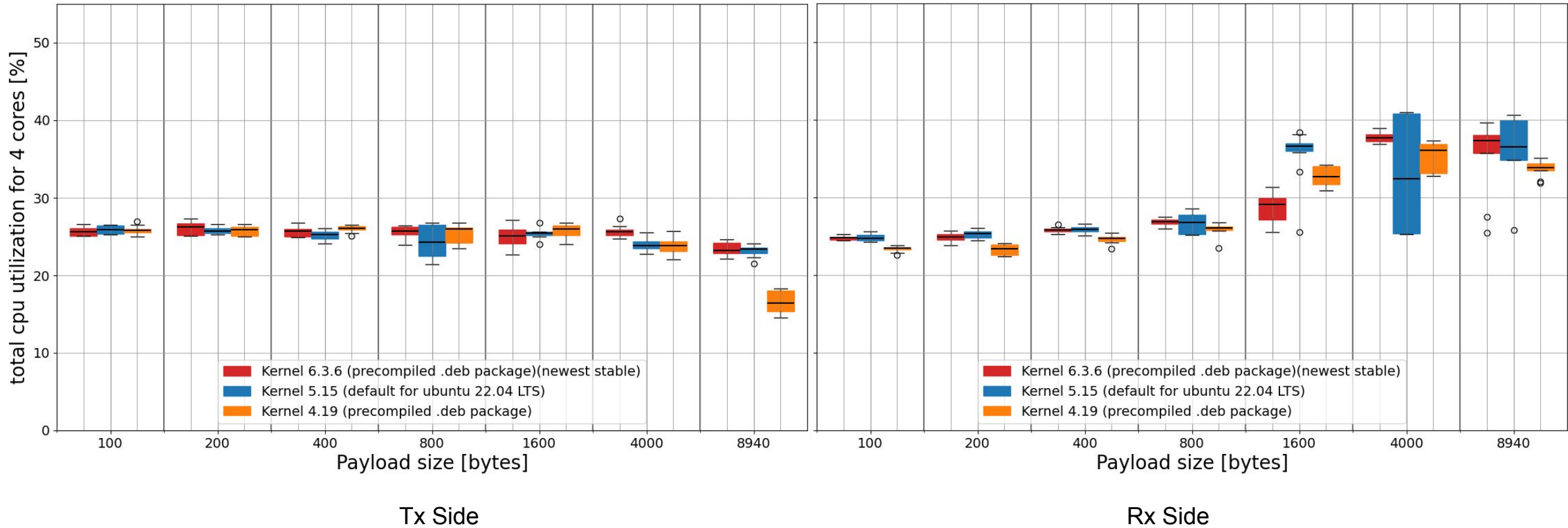


# TCP\_STREAM Results for Different Linux kernels

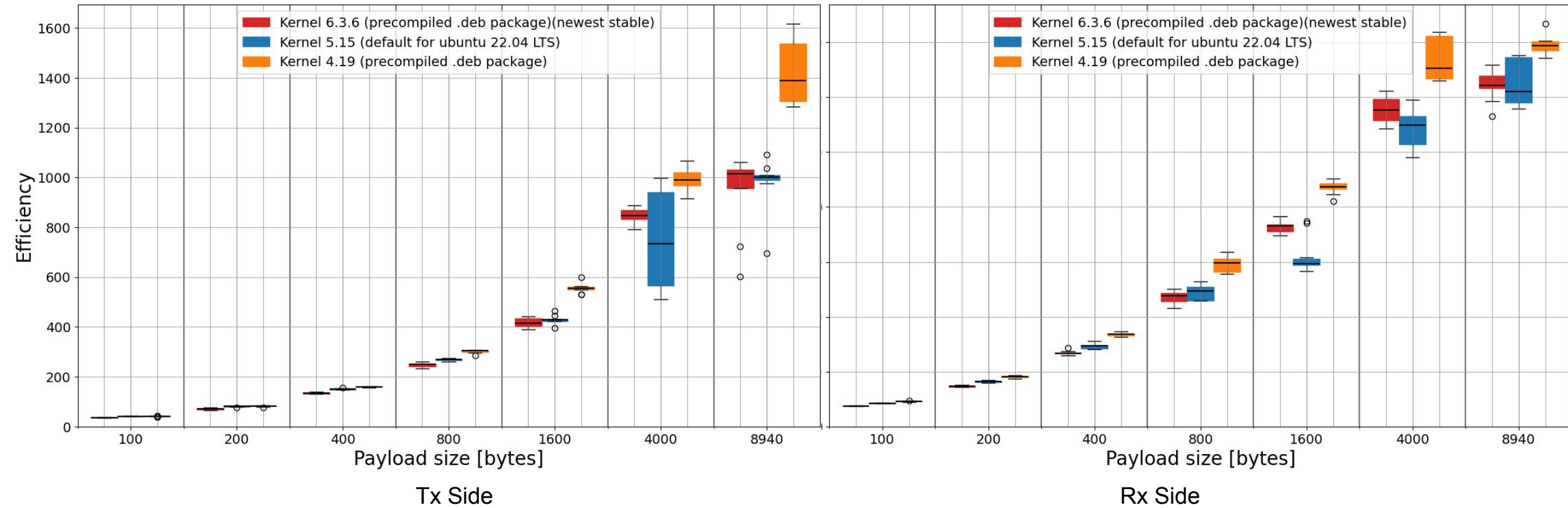




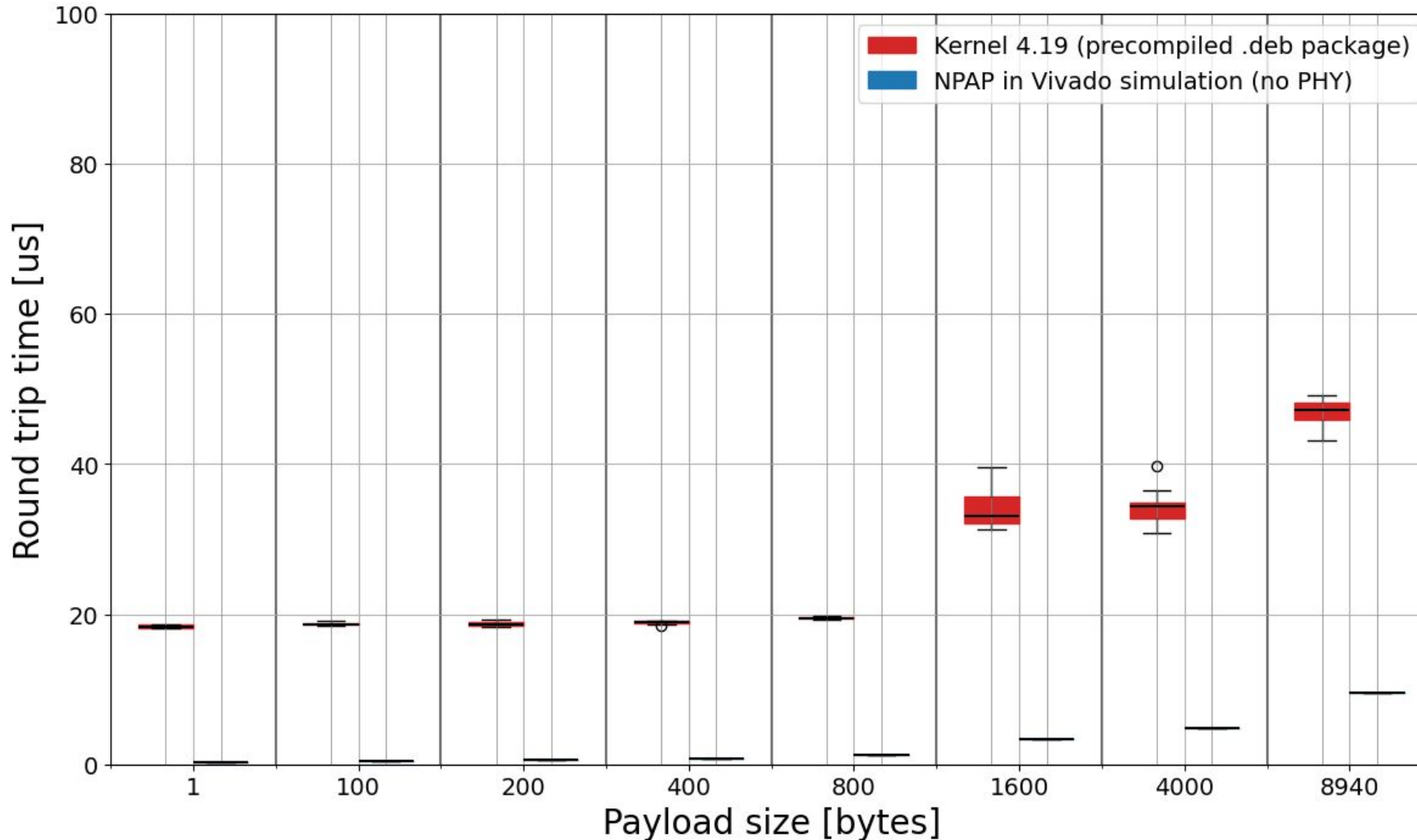
# TCP\_STREAM Results for Different Linux kernels



# TCP\_STREAM Results for Different Linux kernels



# TCP\_RR Results for Different Linux kernels



Average RTT of FPGA Full Accelerator clearly outperforms (Linux) software

# Courtesy of John Ousterhout, Stanford University

## 1. Homa is Message-Based

- **Dispatchable units are explicit in the protocol**
- **Enables efficient load balancing**
  - Multiple threads can safely read from a single socket
  - Future NICs can dispatch messages directly to threads
- **Enables run-to-completion (e.g. SRPT)**



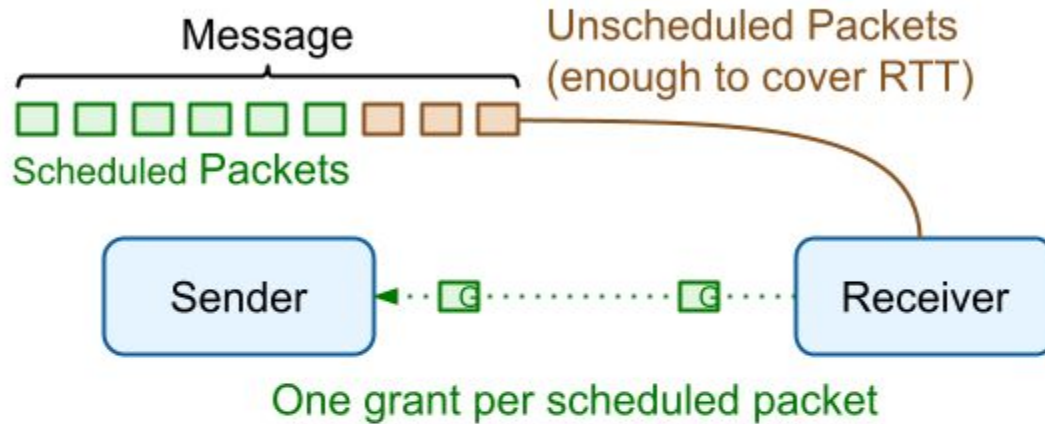
# Courtesy of John Ousterhout, Stanford University

## 2. Homa is Connectionless

- **Fundamental unit is a remote procedure call (RPC)**
  - Request message
  - Response message
  - RPCs are independent
- **No long-lived connection state**
  - (But there is long-lived per-peer state: ~200 bytes)
- **No connection setup overhead**
  - Use one socket to communicate with many peers
- **Homa ensures end-to-end RPC reliability**
  - No need for application-level timers

# Courtesy of John Ousterhout, Stanford University

## 3. Homa: Receiver-Driven Congestion Control



- **Receiver can delay grants to:**
  - Reduce congestion in TOR
  - Prioritize shorter messages
- **Message sizes allow receivers to predict the future:**
  - Faster, more accurate response to congestion

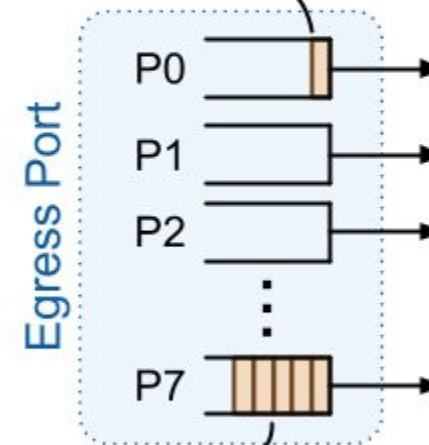
# Courtesy of John Ousterhout, Stanford University

## Homa Uses Priority Queues

- **Modern switches: 8–16 priority queues per egress port**
- **Homa receivers select priorities for SRPT:**
  - Favor shorter messages
- **Achieve both high throughput and low latency**
  - Need buffering to maintain throughput (e.g. if sender doesn't respond to grant)
  - But buffers can result in delays
  - Solution: **overcommitment**:
    - Grant to multiple messages
    - Different priority for each message

### Overcommitment

Short messages use high priority queues (low latency)

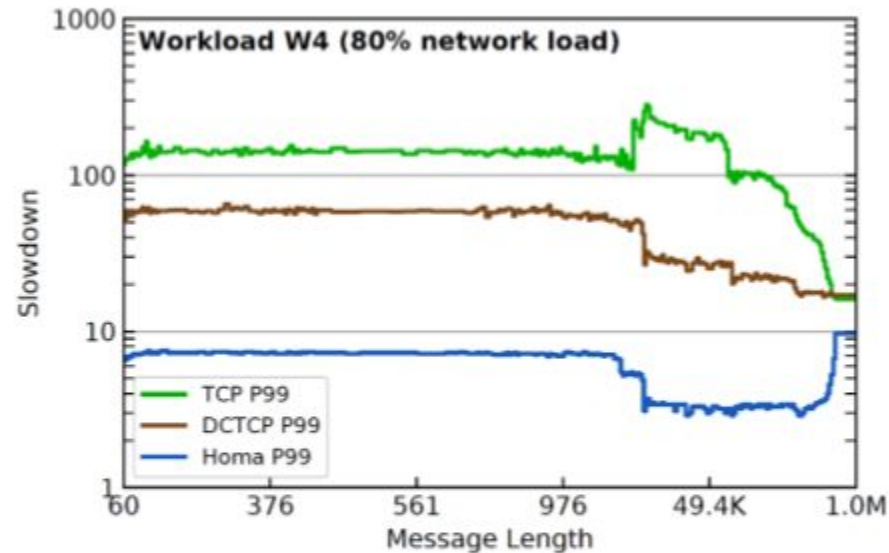


Buffers accumulate in low-priority queues (ensure throughput)

# Courtesy of John Ousterhout, Stanford University

## 4. Homa: SRPT

- **Combination of grants, priorities**
- **Run-to-completion improves performance for every message length!**
- **Starvation risk for longest messages?**
  - Use 5-10% of bandwidth for oldest message





Courtesy of John Ousterhout, Stanford University

## 5. Homa: No Order Requirement

- **Can use packet spraying in datacenter networks**
  - Hypothesis: will eliminate core congestion (unless core fabric systemically overloaded)
- **Better load balancing across CPU cores**

# Wireshark Dissector for Homa

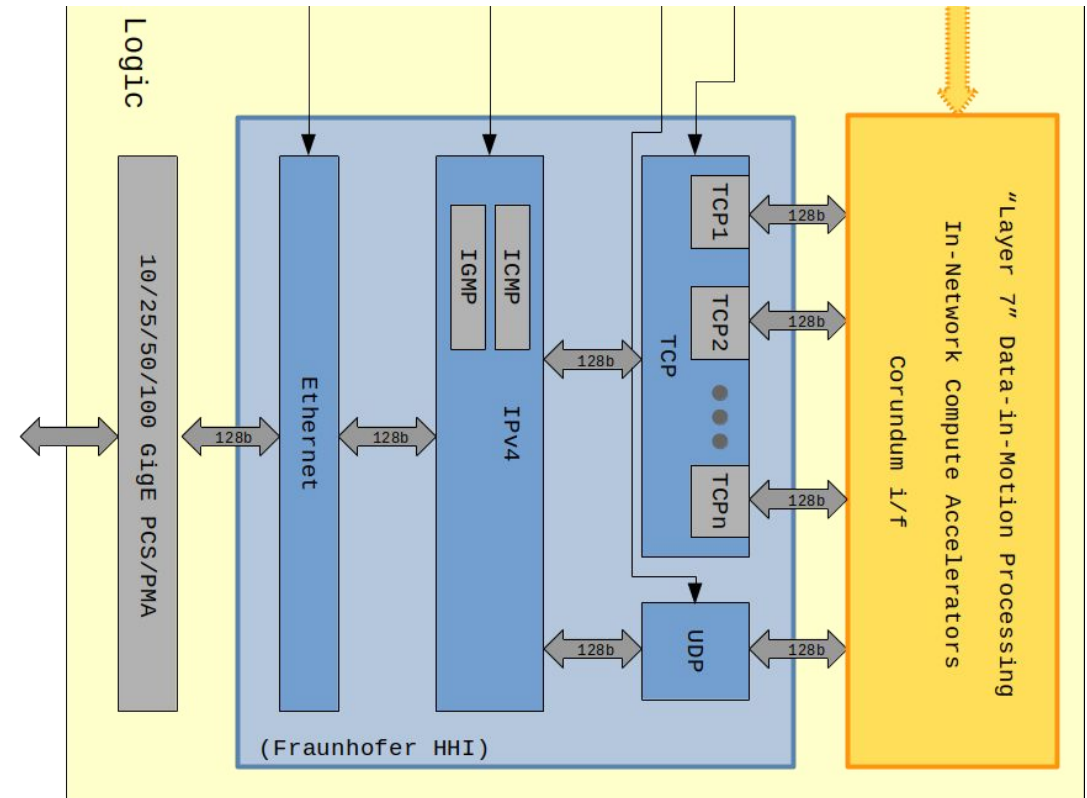
The screenshot shows a GitHub pull request titled "Add a basic dissector for viewing Homa packets. #43". The pull request is from user pmo73 and is currently open. It includes several comments from pmo73 and johnousterhout. The comments discuss the license (GPL-2.0 vs BSD-1-Clause) and the implementation details of the dissector. The pull request also shows a list of reviewers (none), assignees (none), and labels (none yet).

The screenshot shows the Wireshark interface with a packet capture of Homa traffic. The packet list pane shows a series of Homa packets, all of which are "Grant Packet" type. The packet details pane shows the structure of a Homa packet, including the Common Header and Data Header. The Data Header section is expanded, showing the Homa message length (100000), Homa incoming (17040), Homa cutoff version (1), Homa retransmit (0), Homa segment offset (0), Homa segment length (1420), Homa client id (0), Homa client port (0), and Homa server port (0). The Data field shows the raw data: a08601000ff00010100... [Length: 1420].

No.	Time	Source	Destination	Protocol	Length	Info
15	40.580767	192.168.123.11	192.168.123.10	HOMA	1514	Data Packet
16	40.580790	192.168.123.10	192.168.123.11	HOMA	67	Grant Packet
17	40.580800	192.168.123.11	192.168.123.10	HOMA	1514	Data Packet
18	40.580811	192.168.123.11	192.168.123.10	HOMA	1514	Data Packet
19	40.580819	192.168.123.11	192.168.123.10	HOMA	1514	Data Packet
20	40.580831	192.168.123.11	192.168.123.10	HOMA	1514	Data Packet
21	40.580837	192.168.123.10	192.168.123.11	HOMA	67	Grant Packet
22	40.580852	192.168.123.11	192.168.123.10	HOMA	1514	Data Packet
23	40.580858	192.168.123.10	192.168.123.11	HOMA	67	Grant Packet
24	40.580870	192.168.123.11	192.168.123.10	HOMA	1514	Data Packet
25	40.580889	192.168.123.11	192.168.123.10	HOMA	1514	Data Packet
26	40.580894	192.168.123.10	192.168.123.11	HOMA	67	Grant Packet
27	40.580910	192.168.123.11	192.168.123.10	HOMA	1514	Data Packet
28	40.580919	192.168.123.10	192.168.123.11	HOMA	67	Grant Packet
29	40.580932	192.168.123.11	192.168.123.10	HOMA	1514	Data Packet
30	40.580938	192.168.123.10	192.168.123.11	HOMA	67	Grant Packet
31	40.580951	192.168.123.11	192.168.123.10	HOMA	1514	Data Packet
32	40.580957	192.168.123.10	192.168.123.11	HOMA	67	Grant Packet
33	40.580968	192.168.123.11	192.168.123.10	HOMA	1514	Data Packet
34	40.580973	192.168.123.10	192.168.123.11	HOMA	67	Grant Packet
35	40.580994	192.168.123.11	192.168.123.10	HOMA	1514	Data Packet
36	40.581000	192.168.123.10	192.168.123.11	HOMA	67	Grant Packet
37	40.581016	192.168.123.11	192.168.123.10	HOMA	1514	Data Packet
38	40.581045	192.168.123.10	192.168.123.11	HOMA	67	Grant Packet

# NPAP - Network Protocol Accelerator Platform

- Full Accelerator = no CPUs, no software
- Standard IEEE Ethernet PHYs with RMII, GMII, XGMII, etc via PCS/PMA via ASIC/FPGA Ethernet Subsystem
- Ethernet, ARP, IPv4, ICMPv4, IGMPv4, UDP & TCP, DHCP
- Optional TSN, optional TLS
- Datapath via AXI4-Stream 128-bit
- Complete stack uses generic VHDL code
- In production use for automotive, aero & defense, industrial test & measurement, telco applications

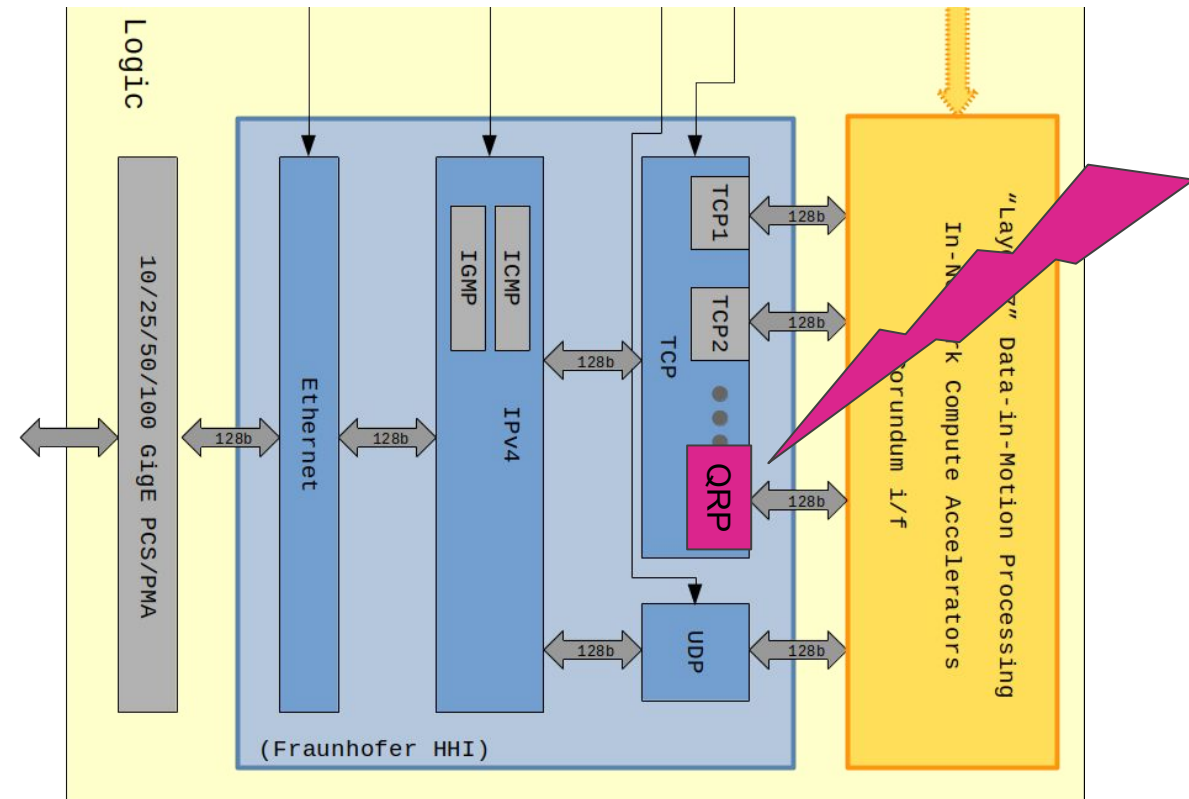


# QuadRP - Reliable, Rapid Request-Response Protocol

- Based on Homa
- Implemented within NPAP  
Tested and proven Ethernet and IPv4
- Complements TCP/IP and UDP/IP

⇒ Best of both worlds:

- No CPU load
- Very low, deterministic latency
- Option for handling messages in
  - Programmable Logic, or
  - in Linux software





# Homa's Benefits for NVMe-over-Fabric

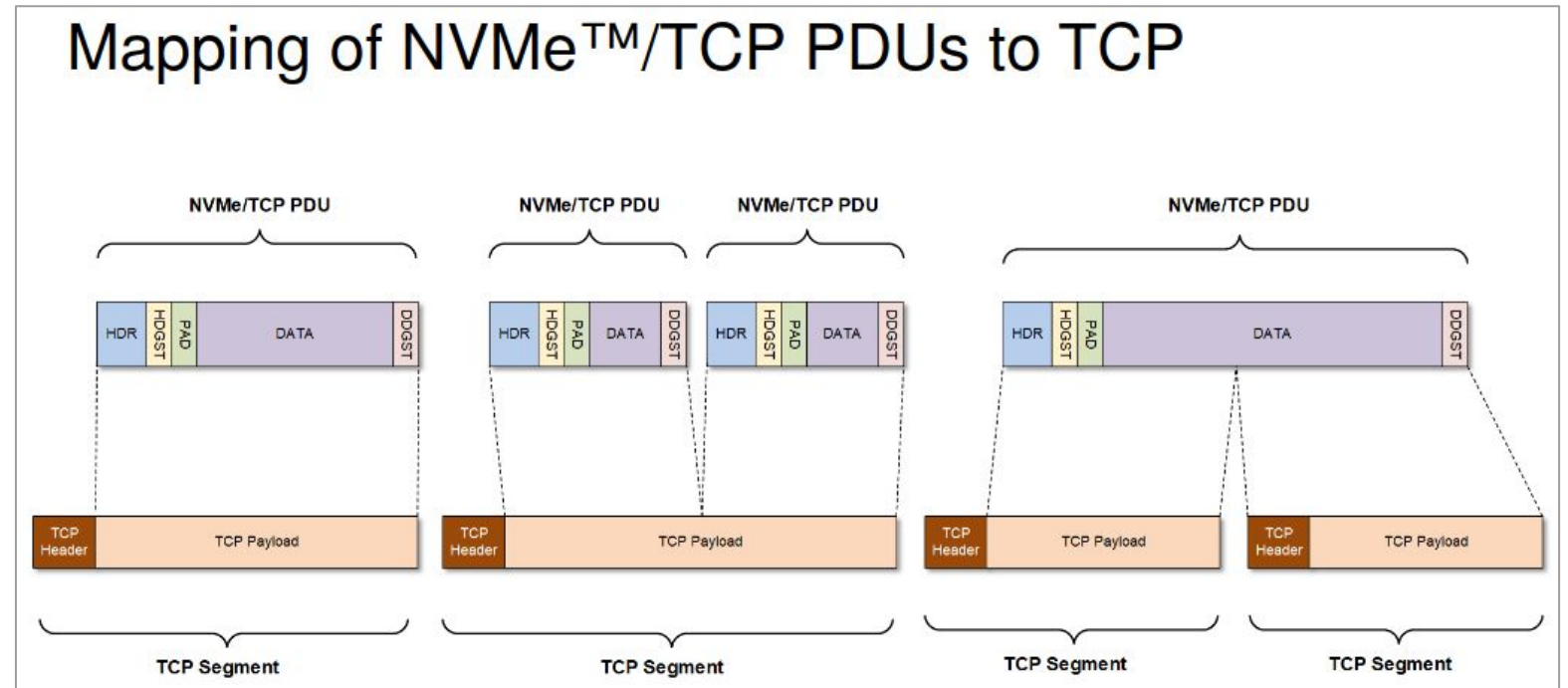
LAN is the bottleneck already, with now additional burden from heavy SAN traffic.

Homa latencies can be 100x faster than TCP and promises to put less load on the network.

NVMe eliminated the legacy software overhead and uses fast PCIe Posted Writes for better response times and IOPS.

So, is TCP then the proper foundation for NVMe-over-Fabric?

**NVMe-over-Homa can be a drop-in replacement or an add-on, achieving storage latencies close to DAS performance, with less overhead on network and servers.**



# HOMA References

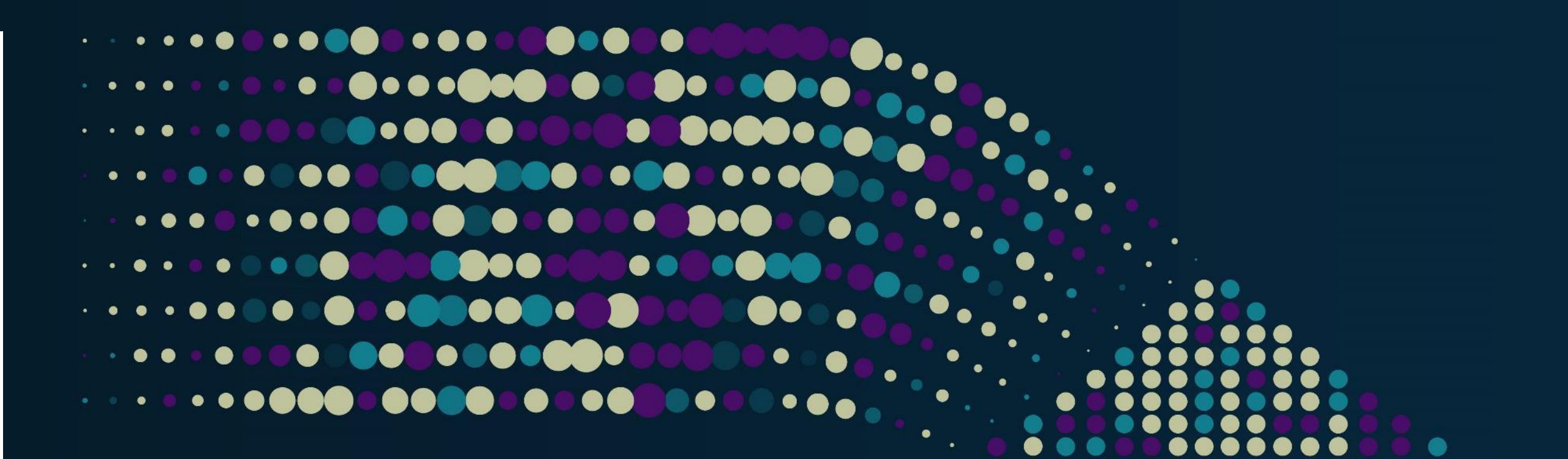
[1] John Ousterhout, Stanford University: <https://web.stanford.edu/~ouster/cgi-bin/papers/replaceTcp.pdf>

[2] John Ousterhout's presentation at USENIX ATC'21 (15 minutes)  
<https://www.usenix.org/conference/atc21/presentation/ousterhout>

[3] Montazeri's presentation at SIGCOMM18 (starts at 1:22)  
[https://www.youtube.com/watch?v=o\\_sg1nnN2bQ&t=4927s](https://www.youtube.com/watch?v=o_sg1nnN2bQ&t=4927s)  
[https://conferences.sigcomm.org/sigcomm/2018/files/slides/paper\\_4.4.pptx](https://conferences.sigcomm.org/sigcomm/2018/files/slides/paper_4.4.pptx)

[4] Homa Linux kernel module implementation  
<https://github.com/PlatformLab/HomaModule>

[5] Montazeri's PhD dissertation  
<http://purl.stanford.edu/sp122ms2496>



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